FACT SHEET
NYU-POLY AFFILIATION/MERGER

Background

• As a result of the sale of NYU’s Heights Campus and the closing of its School of Engineering and Science, in 1973 NYUSES and the then-Polytechnic Institute of Brooklyn (PIB), merged to form the Polytechnic Institute of New York (later renamed Polytechnic University).
• In 2004, NYU and Poly agreed to an exchange of graduate enrollments, and had a set of very preliminary discussions about a merger between the two institutions.
• In 2007, NYU and Poly revived the discussions.
• In Aug. 2007:
  o The Executive Committee of Poly’s Board of Trustees authorized Poly’s leadership to continue discussions with NYU to create a framework for affiliation and integration.
  o A communication was sent to the NYU community from John Sexton and David McLaughlin informing them of the renewed talks about a possible merger.
• In July 2008, Poly renamed itself the Polytechnic Institute of NYU, in recognition of the formal affiliation between the two schools.
• In Oct. 2012:
  o The Board of Trustees of NYU and the Board of Trustees of NYU-Poly voted for the institutions to undertake the final set of steps necessary to complete the merger and make NYU-Poly NYU’s school of engineering.
  o A communication was sent from Martin Lipton, Ralph Alexander, John Sexton, and David McLaughlin to the NYU and NYU-Poly communities informing them of this decision.

Key Issues Propelling Affiliation/Merger

For NYU-Poly

• Strengthen its ability to recruit students with interest in science, technology, engineering, and mathematics from the culturally rich and ethnically diverse student population of New York, the nation and around the world.
• Better prepare science, engineering and technology students, both undergraduate and graduate, to compete in the global economy as professional practitioners, inventors and entrepreneurs by integrating in-depth engineering education with management, medicine, social sciences, and humanities.
• Enhance NYU-Poly’s ability to attract and retain leading faculty who will educate and engage NYU-Poly’s students.
• As part of a major research university, provide access for NYU-Poly’s students to a much broader range of academic and research programs, including opportunities to study at NYU’s global locations.
• Expand NYU-Poly’s role in applied science, engineering and technology research by joining with the substantial mathematical, science, and medical research programs at NYU.
• Share resources for operational efficiency and improvement.
• In addition, NYU-Poly’s financial sustainability is expected to be strengthened from expanding mostly in graduate enrollments and the related revenues that stem from NYU’s national and global reputation as a Tier I university.
For NYU

- Re-establish engineering and technology as strategically important disciplines within a comprehensive research university.
- Offer new opportunities for NYU students to enroll in applied science, engineering, and technology programs and courses, to better prepare them to compete in the 21st century global economy.
- Continue to support and increase NYU’s commitment to student diversity.
- Facilitate collaborative research and technology commercialization in such areas as urban sciences, medical science and health care delivery, and information technology.
- Infuse the inventive, innovative and entrepreneurial character of NYU-Poly’s vision and heritage into the sciences, liberal arts, and professional schools of NYU.

Progress at NYU-Poly

- Fall to Spring retention has increased over 5 percentage points since fall 2007 - to 96.9%
- Admissions:
  - Applications have increased 103% since fall 2007
  - Geographic diversity has increased dramatically; in 2007, students came from 15 states and five countries; today they come from 31 states and 19 countries. The percentage of students from outside New York State has risen by 20 percentage points.
  - SAT scores for first-time FT undergraduates have increased 145 points since fall 2007 – to 1320
- Research funds awarded have increased by over 30% since fall 2007 – to $20.1M
- The six-year graduation rate has increased by 15 percentage points since fall 2007 - to 62%
- Success with major faculty hires, including:
  - Steve Koonin
  - Ted Rappaport
  - Claudio Silva
  - Juliana Freire
  - Jonathan Viventi
  - Justin Cappos
  - Enrico Bertini
  - Katherine Isbister
  - Andrew Nealen

Summary of Outreach and Consultation

For NYU-Poly
Between Aug. 2007 and Apr. 2008:

- Eight “town hall” meetings were held for faculty and staff to discuss the Agreement.
- Five town hall meetings were held with students.
- Two open meetings were held with alumni via telephone.
- A 20-person Merger Advisory Committee was formed, representing all constituencies with the merger negotiating team. This group met 11 times until final approval of the Agreement by the Polytechnic Board.
- Frequent meetings were held between the elected leadership of the faculty and with the senior management team to discuss faculty issues.
• Three full faculty meetings were held to obtain input and answer questions relating to the affiliation.
• Several in-person meetings were held with the alumni leadership and the Board Chair and the President, plus several telephone discussions.
• The Speaker of the Faculty (elected faculty leader) attended two meetings of the Polytechnic Board (October 10, 2007 and February 7, 2008).
• The President and the Immediate Past-President of the Alumni Association attended the Board meetings and Board workshops in their capacity as non-voting Advisory Trustees.
• A web site (Merger Central) was created and maintained up-to-date to keep all constituencies informed.
• The Fall 2007 issue of CABLE, the quarterly alumni magazine mailed to 28,000 members, featured a comprehensive review of the proposed affiliation and merger.
• E-mails were sent to the 7,000 alumni with known email addresses further explaining the reasons and advantages of the agreement, and soliciting input on issues of concern.
• Jerry Hultin visited with alumni groups across the country including New Jersey, Northern California, Florida, the District of Columbia and Connecticut.
• E-mail commentary and questions regarding the proposed affiliation and integration were invited via Merger Central.

Since 2012:
• Oct. 2012 – Following the Oct. 2012 Board authorizations, several town hall meetings were hosted for students, faculty, and staff about moving forward with final steps necessary to make NYU-Poly NYU’s school of engineering.
• Oct. 2012 – Provost presentation to the faculty
• Oct. 2012 – Provost presentation to the Polytechnic Institute Alumni Association
• Dec. 2012/Feb. 2013 – Provost hosted additional town hall meetings for faculty and student discussions

For NYU
• Oct. 2004 – memo to the entire NYU community about discussions with Poly about a possible affiliation
• Aug. 2007 – memo to the entire NYU community about agreement with Poly to explore a merger between the two universities that would make Poly engineering school of NYU
• Oct. 2007 – Provost makes a presentation to the faculty at CIMS
• Oct. 2007 – Provost makes a presentation to the FSC Faculty Forum
• Oct. 2007 – President reports on developments with Poly at University Senate meeting
• Nov. 2007 – As part of the Report of the President, the president noted that the integration of Poly was proceeding smoothly and the Boards of both institutions had approved moving forward with a merger with the long range goal of Polytechnic becoming NYU’s school of engineering.
• Dec. 2007 – President reports to the University Senate that the merger discussion with Poly is proceeding smoothly
• Feb. 2008 – Provost makes presentation to the Undergraduate Academic Affairs Committee about affiliation with NYU Poly
• Feb. 2008 – The FSC reported to the University Senate that the FSC and its committees had been examining the merger with Poly
• Mar. 2008 – President reports that Poly Trustees voted by a super-majority to approve a merger with NYU; that NYU’s trustees had authorized NYU to enter
into a definitive agreement, with an initial affiliation stage with Poly and later Poly becoming a school of NYU

- Apr. 2008 - As part of the Report of the President, the president gave an update on the partnership between NYU and Poly to the University Senate, indicating that moving forward with an agreement with Poly awaited completion of due diligence by an NYU team and approval by the NYS Dept of Education and the Board of Regents. He noted that faculty collaborations had started, and joint teams were looking at student services, library and IT resources, joint majors, etc.

- Apr. 2008 - The University Senate Committee on Organization and Governance, as part of a report on issues of representation, indicated that it would continue its discussions on issues of representation as the University proceeded with its affiliation with Poly

- Nov. 2008 - Provost asks the Undergraduate Academic Affairs Committee to consider the impact on review the flow of students between NYU and Poly

- Nov. 2008 - NYU-Poly President Jerry Hultin makes presentation at NYU University Senate meeting

- Feb./Mar. 2009 - Provost provides and update on progress on NYU-Poly affiliation to Faculty Advisory Committee on Academic Priorities

- Mar. 2009 - NYU-Poly President, NYU-Poly Associate Provost of Research and NYU-Poly Associate Provost of Graduate Education make a presentation to the NYU Dean for Sciences Board of Advisors

- Apr. 2009 - Dianne Rekow, then provost of NYU-Poly, makes a presentation to the Undergraduate Academic Affairs Committee on new developments and Poly, joint research projects, and integration. Committee agrees to have a Poly faculty member join for the following academic year to address Poly-related questions

- Oct. 2009 - Provost’s letter to faculty includes comments on NYU-Poly progress

- Feb. 2011 - NYU-Poly President makes a presentation to the NYU Deans

- Oct. 2011 - The Undergraduate Curriculum Advisory Committee, led by Sr. Vice Provost Matthew Santirocco, discussed a proposal for a STEM studies minor, which would include Poly courses

- Feb. 2012 - UCAC recommends proposal for the minor (now called Science and Society) to the Provost

- Aug. 2012 - NYU-Poly President makes a presentation to the NYU Deans

- Nov. 2012 - NYU-Poly Provost makes presentation to NYU Senate Academic Affairs Committee as well as the NYU University Senate

- Dec. 2012 - NYU and NYU-Poly merger team makes presentation to the NYU Fiscal Officers Forum

- Dec. 2012 - NYU-Poly Provost makes presentation to NYU Student Senators Council and the faculty of CIMS

- Feb. 2013- NYU-Poly Provost makes presentations to the NYU Graduate Commission and the NYU Undergraduate Curriculum Advisory Committee

- Mar. 2013 - NYU-Poly Provost has discussions with the NYU Faculty Senators Council (via FSC designee)